# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/597,263

Applicant : Nobutaka NAKAJIMA et al.

39951

Filed : July 18, 2006

Title : HIGH Cr Ni-BASED ALLOY FILLER MATERIAL AND WELDING

ROD FOR SHIELDED METAL ARC WELDING

Conf. No. : 8493
TC/A.U. : 1742
Examiner : TBD

Customer No. : 000.116

LETTER REQUESTING CORRECTED FILING RECEIPT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Docket No

Enclosed is a copy of the Filing Receipt for the above-identified application. The receipt contains an error in the "Title" section of the receipt. The section should read as follows:

HIGH Cr Ni-BASED ALLOY FILLER MATERIAL AND WELDING-A

ROD FOR SHIELDED METAL ARC WELDING

Please issue a corrected filing receipt for this application and forward the same to the undersigned attorney of record.

Respectfully submitted.

PEARNE & GORDON LLP

Bv:

Paul A. Serbinowski, Reg. No. 34429

1801 East 9th Street Suite 1200 Cleveland, Ohio 44114-3108

(216) 579-1700

Date: September 12, 2007



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignus 22313-1459

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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/507 263	07/19/2006	17/12	1260	20051		

116 PEARNE & GORDON LLP 1801 EAST 9TH STREET SUITE 1200 CLEVELAND, OH 44114-3108



CONFIRMATION NO. 8493

FILING RECEIPT

C000000025527578\*

Date Mailed: 08/28/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Nobutaka Nakajima, Hyogo, JAPAN; Katsuji Dambuyashi, Hyogo, JAPAN; Takashi Miyake, Hyogo, JAPAN; Masahiko Toyoda, Hyogo, JAPAN; Sejii Asada, Hyogo, JAPAN; Sejichi Kawaguchi, Hyogo, JAPAN; Yoshihiro Tada, Okayama, JAPAN; Telichiro Saito, Shizuoka-ken, JAPAN; Norhito Gaqua, Shizuoka-ken, JAPAN;

#### Assignment For Published Patent Application

MITSUBISHI HEAVY INDUSTRIES, LTD., Tokyo, JP NIPPON WELDING ROD CO., LTD., Tokyo, JP

Power of Attorney: The patent practitioners associated with Customer Number 00116.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/00756 01/21/2005

#### Foreign Applications

JAPAN 2004-013712 01/21/2004

If Required, Foreign Filing License Granted: 08/23/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/597.263

Projected Publication Date: 11/29/2007

Non-Publication Request: No

Early Publication Request: No

Title

High Cr Ni-Based Alloy Filler Material and Welding Rod for Shielded Metal Arc Welding

**Preliminary Class** 

148

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-e199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/paddoc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-141ET (1-866-999-415B).

# Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scoope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The ilcense is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-129); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filling date of the application. If 6 months has lapsed from the filling date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15cm.

prOSB/14 (08-15).

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Annli	cation Data	Sheet 37	CER 1	76	Attome	y Dock	et Nu	mber	3995	1	
vhhii	Cation Data	011661 37			Applica	tion Nu	ımbe				
Title of		HIGH Cr Ni-BA WELDING	ASED ALL	OY F	ILLER MA	TERIAL	AND	WELDI	NG RO	D FOR SHIELDED METAL ARC	$\mathcal{I}$
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Secre	cy Order	37 CFR	5.2								
	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)										
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	ant Authority		OLega	Rep	Representative under 35 U.S.C. 117			.S.C. 11	7	Party of Interest under 35 U.S.	C. 118
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	ant Authority	<ul><li>Inventor</li></ul>	CLega	l Rep	resentative	e under	35 U	.S.C. 11	7	Party of Interest under 35 U.S.	C. 118
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Appl	Application Data Sheet 37 CF				-	y Docket N		39951			
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Appli	Application Data Sheet 37 CFR 1.76			1.76	Attorney			3995			
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Title of	f Invention		H Cr Ni-B LDING	ASED A	ALLOY F	TILLER MATE	RIAL AN	D WELDI	NG RO	D FOR SHIELDED METAL ARC	
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Application Dat	a Sne	et 37 CFR 1.76							
			Applica	tion Numbe	r				
Title of Invention	HIGH C	Or NI-BASED ALLOY I NG	FILLER MA	ATERIAL ANI	WELDII	NG ROD	FOR SHIELDED M	IETAL ARG	<b>-</b>
Mailing Address of	f Applic	cant:		· · · · · · · · · · · · · · · · · · ·					
Address 1	C/	o Nippon Welding Ro	d Co Ltd, F	Research & D	evelop			*****	
Address 2	71	800, Nakase, Hamam	atsu-shi						
City Shizuoka	а		State/Province						
Postal Code				Countryi	JP				
	All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button.								
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Application In	forma	ation:							
Title of the Invention	on	HIGH Cr Ni-BASED WELDING	HIGH Cr NI-BASED ALLOY FILLER MATERIAL AND WELDING ROD FOR SHIELDED METAL ARC MELDING						
Attorney Docket N	umber	39951	S	Small Entity Status Claimed					
Application Type		Nonprovisional	Nonprovisional						
Subject Matter		Utility							
Suggested Class (	if any)			S	ub Class	s (if any)		_	
Suggested Techno	logy C	enter (if any)							
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Request Early	Public <b>a</b>	tion (Fee required a	at time of I	Request 37	CFR 1.2	19)			
and certify that	Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilaterial agreement, that requires publication at eighteen months								
Ronrosontativ	o Infe	ormation:							

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing. Please Select One: Customer Number US Patent Practitioner US Representative (37 CFR 11.9) Customer Number 00116

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	Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	39951
I	Application be	ita onector of it 1.70	Application Number	
	Title of Invention	HIGH Cr Ni-BASED ALLOY F WELDING	ILLER MATERIAL AND WELDI	NG ROD FOR SHIELDED METAL ARC

# **Domestic Priority Information:**

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a) (4), and need not otherwise be made part of the specification.

Prior Application Status	Pending	Remove							
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)						
	a 371 of international	PCT/JP2005/000756	2005-01-21						
Additional Domestic Priorit	Additional Domestic Priority Data may be generated within this form by selecting								

Additional Domestic Priority Data may be generated within this form by selecting the Add button.

# Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

	Remove							
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed					
2004-013712	JP	2004-01-21	● Yes ○ No					
Additional Foreign Priority Data may be generated within this form by selecting the								

Additional Foreign Priority Data may be generated within this form by selecting the Add button.

# Assignee Information:

Assignee 1							
If the Assignee is an 0	Organization check here.	×					
Organization Name	Organization Name Mitsubishi Heavy Industries, Ltd.						
Mailing Address Info	ormation:						
Address 1	16-5, Konan 2-chome,	Minato-ku					
Address 2							
City	Tokyo	State/Province					
Country   JP		Postal Code	108-8215				
Phone Number		Fax Number					
Email Address							
Assignee 2							
	Organization check here.	×					
Organization Name	Nippon Welding Rod Co. J.						

PTO/SB/14 (08-05) Approved for use through 07/31/2006. OMB 0851-0032

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Appl	ication Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	39951
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Title o	f Invention	HIGH Cr Ni-BASED ALLOY F WELDING	ILLER MATERIAL AND WELDI	NG ROD FOR SHIELDED METAL ARC

Address 1	13-8, Ginza 1-chome, Chuo-ku						
Address 2							
City	Tokyo	State/Province					
Country   JP		Postal Code	104-0061				
Phone Number		Fax Number					
Email Address							

# Signature:

A signature of CFR 1.4(d) for	A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.									
Signature /paul a serbinowski/				Date (YYYY-MM-DD) 2006-07-18						
First Name	Paul	Last Name	Serbinowski	Registration Number	34429					

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